

Ukpeaġvik Iñupiat Corporation Class "B" Stock Application Instructions

On December 3, 2022, at a Special Meeting of Shareholders held in Utqiagvik, Alaska, Ukpeaġvik Iñupiat Corporation ("UIC") shareholders approved SR 2022-01, authorizing UIC to issue Class B settlement common stock to eligible descendants of original UIC shareholders.

All applicants must be at least 18 years old to apply. UIC will begin accepting applications for 1st generation descendants of original UIC Shareholders in January 2023. UIC **will not** accept applications for 2nd generation descendants of original UIC Shareholders until January 2025.

Individuals are eligible to apply to receive 50 shares of Class B settlement common stock from UIC if they meet **all** of the following criteria:

- a. Born after December 18, 1971;
- b. Are at least 18 years old;
- c. Are not enrolled as a shareholder in any other urban, group, or village corporation organized pursuant to ANCSA, **except** because of inheriting or receiving a gift of shares from another such corporation;
- d. Are a child or grandchild by blood or adoption of at least one original UIC shareholder to whom UIC issued Class A shares pursuant to Section 5 of ANCSA in 1973;
- e. Are a descendant of a Native (as defined in ANCSA); and
- f. Are a citizen of the United States.

UIC Descendant Enrollment Applications are available to download at:
www.uicalaska.com/shareholders.

You may also apply online at <https://portal.uicalaska.com/home> and select the link at the top that says "Class B Stock Application."

UIC KEEPS ALL INFORMATION AND DOCUMENTATION PROVIDED BY THE APPLICANT CONFIDENTIAL

Completed applications received by the UIC Stock Department will be processed and presented in the order they are received.

The UIC Stock Department will contact you if further information or documentation is required to process your application, if your application has been approved, or if your application has been denied. If your application is denied, UIC will provide you with a written explanation for the denial and an outline of UIC's appeals process.

If you have any questions about or need assistance with the application, contact the UIC Stock Department in Utqiagvik.

UIC Stock Department

Phone: (907) 852-7444 | **Email:** stock@uicalaska.com

1250 Aġvik Street, Utqiagvik, AK 99723

PO Box 890, Utqiagvik, AK 99723



Ukpeaġvik Iñupiat Corporation Class "B" Stock Application Checklist

If you wish to apply to receive 50 shares of Class B settlement common stock, please submit the following completed, signed, and dated forms to the UIC Stock Department:

- Class "B" Stock Application**
- Biological Family Tree**
- Adoptive Family Tree** (if adopted)
- Custodian's Consent to Appointment** (if applying on behalf of an individual under a court-ordered guardianship or conservatorship)
- Authorization to Release Information**
- Authorization for Direct Deposit** (optional)
- Authorization for Electronic Communications** (optional)

Please also submit **photocopies** of the following documents to the UIC Stock Department to support your descendant enrollment application:

- Social Security Card.** This is required for all applicants. UIC uses Social Security numbers to identify and track shareholders in its shareholder database.
- Birth Certificate.** This is required for all applicants. UIC uses birth certificates to confirm that the applicant was born after December 18, 1971, that the applicant is over the age of 18, and that the applicant **was born in the U.S. and** is therefore a U.S. Citizen.

You may also need to provide **photocopies** of the following documents:

- Proof of U.S. Citizenship.** This is required for all applicants **whose birth certificate does not show they were born in the U.S.** UIC will accept a U.S. passport identification page or naturalization certificate.
- Court-approved Adoption Decree or Tribal Resolution recognizing a cultural adoption.** This is only required if the applicant was adopted.
- Confirmation of a Legal Name Change.** This is only required if the applicant's first or last name was changed from the name that appeared on the applicant's original birth certificate, please provide a new social security card, passport, or other government identification showing the new name.
- Court order(s) or decrees documenting the Conservatorship/ Guardianship.** This is only required if the applicant is under a court-ordered conservatorship or guardianship.

Ukpeaġvik Iñupiat Corporation
Class "B" Stock Application



First Name: _____ Middle Name: _____

Last Name: _____ Suffix _____

Applicant's Name at Birth (if different than above): _____

Age _____ Date of Birth _____ Social Security Number _____

Contact Phone # _____ E-mail: _____

Mailing Address _____ City _____ State _____ Zip _____

Preferred method of contact by UIC: Phone / text Email Mail

Is the applicant a shareholder in another ANCSA **village** corporation? Yes No

If yes, which ANCSA village corporation? _____

If yes, how did the applicant obtain their stock? Applied to enroll Inheritance Gift

Proof of US Citizenship (select one): Birth Certificate Passport Other

Was the applicant adopted? Yes No

If yes, indicate whether the adoption was through a Tribe, Tribal Court, or State court:

Tribe / Tribal Court

State Court

Cultural adoption? Yes No

- If you were adopted, please provide copies of a Tribal Resolution or Tribal Court Order or a Final Adoption Decree.
- If you were adopted and are claiming to be a descendant of an original UIC shareholder **from your adoptive family**, you only need to complete the adoptive family tree.
- If you were adopted but are claiming to be a descendant of an original UIC shareholder **from your biological family**, please complete **both a biological and an adoptive family tree form**.

Certification

I certify that I have not enrolled through a descendant enrollment program as a shareholder in any other village, urban, or group corporation, and that to the best of my knowledge I am eligible to apply to receive Class B shares from UIC. I further certify that the information given in this application is true and complete to the best of my knowledge and belief. I understand that if I make a false or misleading statement in this application about my eligibility to receive Class B shares, that may be grounds for UIC to deny this application or cancel any Class B shares that may be issued to me.

Signature

Date

UIC Stock Department: Phone: 907.852.7444 | Fax: 907.802.3141 | E-mail: stock@uicalaska.com

PO Box 890, Utqiagvik, AK 99723

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Biological Family Tree for Eligibility Determination

To enroll for Class B shares, you must fill out this biological family tree and submit the completed form with your application. Be prepared to provide additional documentation if requested.

Applicant	Father DOB: _____ Last 4 SSN: _____	Grandfather
		Grandmother
	Mother (include maiden if applicable) DOB: _____ Last 4 SSN: _____	Grandfather
		Grandmother



Adoptive Family Tree for Eligibility Determination

To enroll for Class B shares, you must fill out this adoptive family tree and submit the completed form with your application. Be prepared to provide additional documentation if requested.

Applicant	Father DOB: _____ Last 4 SSN: _____	Grandfather
		Grandmother
	Mother (include maiden if applicable) DOB: _____ Last 4 SSN: _____	Grandfather
		Grandmother



Consent to Serve as Stock Custodian for Adult

This is only required if the applicant is under a court-ordered conservatorship or guardianship.

Please complete this form only if a court order has appointed you to serve as guardian or conservator for the individual applying to receive Class B shares from Ukpeagvik Iñupiat Corporation (UIC). Please include a copy of the court order confirming your appointment as guardian or conservator when you submit the completed Class B application on behalf of the applicant.

Applicant Information

Name:	
SSN:	
DOB:	

Custodian Information

Name:	
SSN:	
DOB:	
Mailing Address:	

I certify that I have been appointed by a court of competent jurisdiction as the **guardian** / **conservator** (circle one) of the above applicant. I understand that I owe specific legal duties and responsibilities to the applicant as a result of my appointment,¹ I am familiar those legal duties and responsibilities as they are established in the court order authorizing my appointment, and, consistent with those duties and responsibilities, I hereby consent to serve as the stock custodian for any class of shares issued by UIC pursuant to the Alaska Native Claims Settlement Act to the applicant.

Signature

Date

¹ AS 13.26.316 (general powers and duties of a guardian); AS 13.26.500 (general duty of conservator).



Authorization to Release Information

I, _____, state and agree as follows:
(applicant name)

- I hereby authorize Ukpeaġvik Iñupiat Corporation and its employees (UIC) to speak with and obtain information, including copies of any and all records, from any other Alaska Native Village Corporation (Village Corporation) concerning my enrollment as a shareholder.
- The Village Corporation is hereby authorized to provide UIC with the information described above and to discuss any questions UIC may have about that information.
- UIC will use the information to determine my eligibility for enrollment as a Class B shareholder in UIC.
- I hereby waive any claims of confidentiality that I may otherwise have in the information and which would prevent the Village Corporation from discussing or providing the information.
- I understand that this authorization does not authorize UIC to share my personal and confidential information with any other individual or entity.
- A photocopy of this authorization shall in all ways be as valid as the original.

Signature

Date



Authorization for Direct Deposit

- Check this box if you are already a UIC shareholder, UIC has your direct deposit information on file, and you do not wish to change that direct deposit information.

NAME:	
DATE OF BIRTH:	
SOCIAL SECURITY #:	
MAILING ADDRESS:	
EMAIL ADDRESS:	
CONTACT PHONE #:	

Bank Account Information

Please make sure your account information is correct to avoid any rejections.

ACCOUNT HOLDERS NAME:	
BANK NAME:	
BANK ROUTING #:	
BANK ACCOUNT #:	
CHECKING OR SAVINGS:	

I hereby authorized UKPEAGVIK IÑUPIAT CORPORATION to initiate credit entries to this bank account, and if necessary, to correct any corrections and adjustments for any credit entries in error to my depository account specified above. This authority is to remain in full force and effect until UIC has received written notification from me of its termination in such time and in such manner as to afford UIC and the Depository a reasonable opportunity to act on it.

TO COMPLETE THE DIRECT DEPOSIT PROCESS, PLEASE PROVIDE BANK VERIFICATION WITH THIS FORM.

(Voided check, or bank statement or other proof of account ownership.)

Type of Bank Verification Attached:

- Voided Check
 Bank Statement
 Other proof of account ownership

Signature

Date

UIC Stock Department: Phone: 907.852.7444 | Fax: 907.802.3141 | E-mail: stock@uicalaska.com
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Consent to Receive Electronic Communications

From time to time, Ukpeagvik Inupiat Corporation (UIC) may wish to contact shareholders by phone or e-mail to verify shareholder information, share safety concerns, or provide shareholders with updates and information regarding UIC events.

By signing this form, shareholders expressly consent to receiving electronic communications from UIC with this information.

Phone # to receive UIC communications via text: _____

E-mail to receive UIC communications via e-mail: _____

Signature

Date